

The problem of absolute generality - 4

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- **Orthodox generality absolutism** has been rejected: unstable vis-à-vis paradox of indefinite extensibility; inexpressibility problem

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For instance,

$$\forall X(D(X) \leftrightarrow \exists x \forall y(y \in x \leftrightarrow Xy)) \quad (1)$$

$$\forall X(X \subseteq On \rightarrow (D(X) \leftrightarrow \exists x \forall y(y = LUB(X)))) \quad (2)$$

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$$\neg \Diamond \forall m \exists n \text{ SUCCESSOR}(m, n) \quad (4)$$

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Our analysis will have to be integrated with the non-modal language of standard mathematics.

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- 2 The introduction of a mathematical object consists in the specification of a (permanent) identity condition.
- 3 *Cumulativity*. The licence to introduce an object never goes away but can always be exercised at a later stage.
- 4 *Maximality* (optional). At every stage we introduce all the mathematical objects we are entitled to introduce.

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- An **accessibility relation** $w \leq w'$ which holds iff w' is a (not necessarily proper) extension of w . So \leq is
 - reflexive, anti-symmetric, and transitive.
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 - reflexive, anti-symmetric, and transitive.
 - directed (i.e. $\exists z(x \leq z \wedge y \leq z)$)
 - well-founded.
- The resulting **Kripke-models** validate the modal logic $S4.2 = S4 + (G)$:

$$\diamond \Box p \rightarrow \Box \diamond p. \quad (G)$$

Actualist and potentialist theories compared (I)

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- The *modal translation* $\phi \mapsto \phi^\diamond$ replaces each ordinary quantifier with the corresponding modalized quantifier.
- A formula is *fully modalized* iff all of its quantifiers are modalized.
- A formula $\phi(\mathbf{u})$ is *stable* iff the following two conditionals hold:

$$\phi(\mathbf{u}) \rightarrow \Box \phi(\mathbf{u}) \quad (\text{STB}^+ - \phi)$$

$$\neg \phi(\mathbf{u}) \rightarrow \Box \neg \phi(\mathbf{u}) \quad (\text{STB}^- - \phi)$$

Lemma

Let ϕ be a fully modalized formula of a modal language \mathcal{L}^\diamond . Then S4.2 and the stability axioms for \mathcal{L}^\diamond prove

- that $\diamond\phi$, ϕ , and $\Box\phi$ are equivalent,
- that ϕ is stable.

Actualist and potentialist theories compared (II)

Lemma

Let ϕ be a fully modalized formula of a modal language \mathcal{L}^\diamond . Then S4.2 and the stability axioms for \mathcal{L}^\diamond prove

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Theorem (Mirroring)

Let \vdash^\diamond be provability by \vdash , S4.2, and axioms stating that every atomic predicate is stable, but with no higher-order comprehension. Then we have:

$$\phi_1, \dots, \phi_n \vdash \psi \quad \text{iff} \quad \phi_1^\diamond, \dots, \phi_n^\diamond \vdash^\diamond \psi^\diamond.$$

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- Let $\mathcal{L}_{PFO}^{\diamond}$ be the modal language which results from adding to \mathcal{L}_{PFO} the two modal operators.

Definition (The theories of plural logic)

Let PFO be the \mathcal{L}_{PFO} -theory consisting of first-order logic, the standard rules for the plural quantifiers, and the comprehension scheme:

$$\exists x x \forall u [u \prec x x \leftrightarrow \phi(u)] \quad (\text{P-Comp})$$

Definition (The theories of plural logic)

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Let $MPFO$ be the $\mathcal{L}_{PFO}^{\diamond}$ -theory which adds to PFO the modal logic $S4.2$ and the following axioms:

$$u \prec xx \rightarrow \Box(u \prec xx) \quad (\text{STB}^+ \prec)$$

$$u \not\prec xx \rightarrow \Box(u \not\prec xx) \quad (\text{STB}^- \prec)$$

$$\forall u (u \prec xx \rightarrow \Box \theta) \rightarrow \Box \forall u (u \prec xx \rightarrow \theta) \quad (\text{CL-}\prec)$$

A modal characterization of 'definiteness' (I)

Assuming that pluralities are rigid with respect to the modality, we can explicate the idea that there is a definite 'collection' of ϕ s as follows:

$$Def_x(\phi) : \leftrightarrow \Diamond \exists xx \Box \forall y (y \prec xx \leftrightarrow \phi^\Diamond[y/x])$$

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This analysis implies various useful principles of definiteness (aka. plural comprehension), e.g.:

$$Def_x(x \neq x) \quad \text{(D-Empty)}$$

$$Def_x \phi \rightarrow \forall a Def_x(\phi \vee x = a) \quad \text{(D-Adj)}$$

$$Def_x \phi \rightarrow Def_x(\phi \wedge \psi) \quad \text{(D-Sep)}$$

$$Def_x \phi \wedge Def_x \psi \rightarrow Def_x(\phi \vee \psi) \quad \text{(D-Union)}$$

A modal characterization of 'definiteness' (II)

It is natural to add a Replacement principle as well:

$$Def_x(\phi(x)) \wedge \forall x(\phi(x) \rightarrow Def_y\psi(x, y)) \rightarrow Def_y\exists x(\phi(x) \wedge \psi(x, y))$$

Applications of this notion of definiteness

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- modal set theory (Linnebo, 2013)
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A stable way to restrict the extensibility principles

- X is definite iff it is possible for X to be completed as a plurality

$$D(X) :\leftrightarrow \Diamond \exists xx \Box \forall y (y \prec xx \leftrightarrow Xy)$$

- As argued in lecture 2, a plurality $\alpha\alpha$ of ordinal numbers can be given a LUB, and *mutatis mutandis* for sets
- But the arguments showing this work only for pluralities, not for indefinite X

The nature of sets

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Then the extensionality and extensional definiteness of sets can be given the following alternative formulations:

$$x \equiv uu \wedge y \equiv vv \rightarrow (x = y \leftrightarrow uu \approx vv) \quad (\text{Ext})$$

$$x \equiv uu \rightarrow \Box(x \equiv uu) \quad (\text{STB}^+ \text{-}\equiv)$$

$$x \not\equiv uu \rightarrow \Box(x \not\equiv uu) \quad (\text{STB}^- \text{-}\equiv)$$

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Priority of elements to set

$$\forall x[\exists y(y \in x) \rightarrow \exists y(y \in x \wedge \forall z(z \in x \rightarrow z \notin y))] \quad (\text{F})$$

Consider the theory resulting from the axioms just laid out. This theory proves that subsethood is stable

$$u \subseteq a \rightarrow \Box(u \subseteq a) \quad (\text{STB}^+ - \subseteq)$$

$$u \not\subseteq a \rightarrow \Box(u \not\subseteq a) \quad (\text{STB}^- - \subseteq)$$

but not that it is closed

$$\forall u(u \subseteq a \rightarrow \Box\theta) \rightarrow \Box\forall u(u \subseteq a \rightarrow \theta) \quad (\text{CL} - \subseteq)$$

The principle of set existence

Any available objects *may* form a set:

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Let MST^- be the resulting modal set theory. Let MST be the result of adding an axiom to the effect that $Def_x(x \subseteq a)$ for any a .

Theorem

Assume MST^- proves that the condition $\phi(u)$ is definite. Then this theory also proves the following:

$$\Diamond \exists y \Box \forall u (u \in y \leftrightarrow \phi(u)) \quad (6)$$

Theorem

MST proves the modal translations of all the axioms of Zermelo set theory minus Infinity; that is, Extensionality, Foundation, and the following set existence claims:

| | |
|--|--------------|
| $\exists x \forall u (u \notin x)$ | (Empty Set) |
| $\exists x \forall u (u \in x \leftrightarrow u = a \vee u = b)$ | (Pairs) |
| $\exists x \forall u (u \in x \leftrightarrow \exists v (u \in v \wedge v \in a))$ | (Union) |
| $\exists x \forall u (u \in x \leftrightarrow u \in a \wedge \phi(u))$ | (Separation) |
| $\exists x \forall u (u \in x \leftrightarrow u \subseteq a)$ | (Power) |

MST⁻ proves the same except (the translation of) (Power).

Anything which is true of the potential hierarchy is possible:

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(\diamond -Refl₀)

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A stronger and more “uniform” version:

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Theorem

- (a) Adding $(\diamond\text{-Refl}_0)$ allows us to prove *Infinity* $^\diamond$.
- (b) Adding $(\diamond\text{-Refl})$ allows us to prove *Replacement* $^\diamond$.

Theorem

The potentialist theory $MST + (\diamond\text{-Refl})$ is interpretable in the actualist theory ZF and is therefore consistent provided ZF itself is.

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An outline of a theory of properties

- ' $x \eta y$ ' for ' x instantiates y ' (cf. ' $x \in y$ ' in set theory)
- We generate ever larger interpretations E of η
- A condition ϕ is *grounded relative to E* iff for any permissible extension F of E , ϕ has the same extension under E as under F , i.e.

$$(\forall F \supseteq E)(\llbracket \phi \rrbracket_E = \llbracket \phi \rrbracket_F)$$

Properties (II)

Some theories of properties: (Fine, 2005), (Linnebo, 2006), (Linnebo, 2009)

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We get a sequence T_α of stronger and stronger theories of properties, such that each $T_{\alpha+1}$ proves the existence of an interpretation on which T_α is true.

What has been done/argued

- Absolute generality remains a huge problem: the new orthodoxy is not much better off than generality relativism
- The only solution seems to be a type-free account of absolute generality.
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- A good account is now available in the case of pluralities and sets.
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Where more work is needed

- Better theories of properties.
- An account of the modality
- 'Revenge problems', esp. what about the interpretation of my ' η '?

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